#### **NAME**

\_umtx\_op - interface for implementation of userspace threading synchronization primitives

#### **LIBRARY**

```
Standard C Library (libc, -lc)
```

#### **SYNOPSIS**

```
#include <sys/types.h>
#include <sys/umtx.h>

int
_umtx_op(void *obj, int op, u_long val, void *uaddr, void *uaddr2);
```

#### DESCRIPTION

The \_umtx\_op() system call provides kernel support for userspace implementation of the threading synchronization primitives. The 1:1 Threading Library (libthr, -lthr) uses the syscall to implement IEEE Std 1003.1-2001 ("POSIX.1") pthread locks, like mutexes, condition variables and so on.

#### **STRUCTURES**

The operations, performed by the **\_umtx\_op()** syscall, operate on userspace objects which are described by the following structures. Reserved fields and paddings are omitted. All objects require ABI-mandated alignment, but this is not currently enforced consistently on all architectures.

The following flags are defined for flag fields of all structures:

# USYNC\_PROCESS\_SHARED

Allow selection of the process-shared sleep queue for the thread sleep container, when the lock ownership cannot be granted immediately, and the operation must sleep. The process-shared or process-private sleep queue is selected based on the attributes of the memory mapping which contains the first byte of the structure, see mmap(2). Otherwise, if the flag is not specified, the process-private sleep queue is selected regardless of the memory mapping attributes, as an optimization.

See the SLEEP QUEUES subsection below for more details on sleep queues.

# Mutex

The m\_owner field is the actual lock. It contains either the thread identifier of the lock owner in the locked state, or zero when the lock is unowned. The highest bit set indicates that there is contention on the lock. The constants are defined for special values:

### UMUTEX UNOWNED

Zero, the value stored in the unowned lock.

### UMUTEX\_CONTESTED

The contention indicator.

# UMUTEX\_RB\_OWNERDEAD

A thread owning the robust mutex terminated. The mutex is in unlocked state.

# UMUTEX\_RB\_NOTRECOV

The robust mutex is in a non-recoverable state. It cannot be locked until reinitialized.

The m\_flags field may contain the following umutex-specific flags, in addition to the common flags:

### UMUTEX\_PRIO\_INHERIT

Mutex implements Priority Inheritance protocol.

## UMUTEX PRIO PROTECT

Mutex implements *Priority Protection* protocol.

# UMUTEX\_ROBUST

Mutex is robust, as described in the *ROBUST UMUTEXES* section below.

#### **UMUTEX NONCONSISTENT**

Robust mutex is in a transient non-consistent state. Not used by kernel.

In the manual page, mutexes not having UMUTEX\_PRIO\_INHERIT and UMUTEX\_PRIO\_PROTECT flags set, are called normal mutexes. Each type of mutex (normal, priority-inherited, and priority-protected) has a separate sleep queue associated with the given key.

For priority protected mutexes, the m\_ceilings array contains priority ceiling values. The m\_ceilings[0] is the ceiling value for the mutex, as specified by IEEE Std 1003.1-2008 ("POSIX.1") for the *Priority Protected* mutex protocol. The m\_ceilings[1] is used only for the unlock of a priority protected mutex, when unlock is done in an order other than the reversed lock order. In this case, m\_ceilings[1] must contain the ceiling value for the last locked priority protected mutex, for proper priority reassignment. If, instead, the unlocking mutex was the last priority propagated mutex locked by the thread, m\_ceilings[1] should contain -1. This is required because kernel does not maintain the ordered lock list.

#### Condition variable

A non-zero c\_has\_waiters value indicates that there are in-kernel waiters for the condition, executing the UMTX\_OP\_CV\_WAIT request.

The c\_flags field contains flags. Only the common flags (USYNC\_PROCESS\_SHARED) are defined for ucond.

The c\_clockid member provides the clock identifier to use for timeout, when the UMTX\_OP\_CV\_WAIT request has both the CVWAIT\_CLOCKID flag and the timeout specified. Valid clock identifiers are a subset of those for clock\_gettime(2):

- CLOCK MONOTONIC
- CLOCK MONOTONIC FAST
- CLOCK\_MONOTONIC\_PRECISE
- CLOCK\_PROF
- CLOCK REALTIME
- CLOCK\_REALTIME\_FAST
- CLOCK REALTIME PRECISE
- CLOCK SECOND
- CLOCK\_UPTIME
- CLOCK\_UPTIME\_FAST
- CLOCK\_UPTIME\_PRECISE
- CLOCK\_VIRTUAL

#### Reader/writer lock

```
struct urwlock {
            volatile int32_t rw_state;
            uint32_t rw_flags;
            uint32_t rw_blocked_readers;
            uint32_t rw_blocked_writers;
};
```

The rw\_state field is the actual lock. It contains both the flags and counter of the read locks which were granted. Names of the rw\_state bits are following:

# URWLOCK\_WRITE\_OWNER

Write lock was granted.

## URWLOCK\_WRITE\_WAITERS

There are write lock waiters.

### URWLOCK\_READ\_WAITERS

There are read lock waiters.

#### URWLOCK READER COUNT(c)

Returns the count of currently granted read locks.

At any given time there may be only one thread to which the writer lock is granted on the *struct rwlock*, and no threads are granted read lock. Or, at the given time, up to URWLOCK\_MAX\_READERS threads may be granted the read lock simultaneously, but write lock is not granted to any thread.

The following flags for the rw\_flags member of *struct urwlock* are defined, in addition to the common flags:

### URWLOCK PREFER READER

If specified, immediately grant read lock requests when urwlock is already readlocked, even in presence of unsatisfied write lock requests. By default, if there is a write lock waiter, further read requests are not granted, to prevent unfair write lock waiter starvation.

The rw\_blocked\_readers and rw\_blocked\_writers members contain the count of threads which are sleeping in kernel, waiting for the associated request type to be granted. The fields are used by kernel to update the URWLOCK\_READ\_WAITERS and URWLOCK\_WRITE\_WAITERS flags of the rw\_state lock after requesting thread was

woken up.

# **Semaphore**

The \_count word represents a counting semaphore. A non-zero value indicates an unlocked (posted) semaphore, while zero represents the locked state. The maximal supported semaphore count is USEM\_MAX\_COUNT.

The \_count word, besides the counter of posts (unlocks), also contains the USEM\_HAS\_WAITERS bit, which indicates that locked semaphore has waiting threads.

The USEM\_COUNT() macro, applied to the \_count word, returns the current semaphore counter, which is the number of posts issued on the semaphore.

The following bits for the \_flags member of *struct \_usem2* are defined, in addition to the common flags:

# USEM\_NAMED

Flag is ignored by kernel.

#### Timeout parameter

Several \_umtx\_op() operations allow the blocking time to be limited, failing the request if it cannot be satisfied in the specified time period. The timeout is specified by passing either the address of *struct timespec*, or its extended variant, *struct \_umtx\_time*, as the *uaddr2* argument of \_umtx\_op(). They are distinguished by the *uaddr* value, which must be equal to the size of the structure pointed to by *uaddr2*, casted to *uintptr\_t*.

The timeout member specifies the time when the timeout should occur. Legal values for

clock identifier \_clockid are shared with the *clock\_id* argument to the clock\_gettime(2) function, and use the same underlying clocks. The specified clock is used to obtain the current time value. Interval counting is always performed by the monotonic wall clock.

The \_flags argument allows the following flags to further define the timeout behaviour:

### UMTX ABSTIME

The \_timeout value is the absolute time. The thread will be unblocked and the request failed when specified clock value is equal or exceeds the \_timeout.

If the flag is absent, the timeout value is relative, that is the amount of time, measured by the monotonic wall clock from the moment of the request start.

### **SLEEP QUEUES**

When a locking request cannot be immediately satisfied, the thread is typically put to *sleep*, which is a non-runnable state terminated by the *wake* operation. Lock operations include a *try* variant which returns an error rather than sleeping if the lock cannot be obtained. Also, \_umtx\_op() provides requests which explicitly put the thread to sleep.

Wakes need to know which threads to make runnable, so sleeping threads are grouped into containers called *sleep queues*. A sleep queue is identified by a key, which for <code>\_umtx\_op()</code> is defined as the physical address of some variable. Note that the *physical* address is used, which means that same variable mapped multiple times will give one key value. This mechanism enables the construction of *process-shared* locks.

A related attribute of the key is shareability. Some requests always interpret keys as private for the current process, creating sleep queues with the scope of the current process even if the memory is shared. Others either select the shareability automatically from the mapping attributes, or take additional input as the USYNC\_PROCESS\_SHARED common flag. This is done as optimization, allowing the lock scope to be limited regardless of the kind of backing memory.

Only the address of the start byte of the variable specified as key is important for determining corresponding sleep queue. The size of the variable does not matter, so, for example, sleep on the same address interpreted as *uint32\_t* and *long* on a little-endian 64-bit platform would collide.

The last attribute of the key is the object type. The sleep queue to which a sleeping thread is assigned is an individual one for simple wait requests, mutexes, rwlocks, condvars and other primitives, even when the physical address of the key is same.

When waking up a limited number of threads from a given sleep queue, the highest priority threads that

have been blocked for the longest on the queue are selected.

#### ROBUST UMUTEXES

The *robust umutexes* are provided as a substrate for a userspace library to implement POSIX robust mutexes. A robust umutex must have the UMUTEX\_ROBUST flag set.

On thread termination, the kernel walks two lists of mutexes. The two lists head addresses must be provided by a prior call to UMTX\_OP\_ROBUST\_LISTS request. The lists are singly-linked. The link to next element is provided by the m\_rb\_lnk member of the *struct umutex*.

Robust list processing is aborted if the kernel finds a mutex with any of the following conditions:

- the UMUTEX\_ROBUST flag is not set
- not owned by the current thread, except when the mutex is pointed to by the robust\_inactive member of the struct umtx\_robust\_lists\_params, registered for the current thread
- the combination of mutex flags is invalid
- read of the umutex memory faults
- the list length limit described in libthr(3) is reached.

Every mutex in both lists is unlocked as if the UMTX\_OP\_MUTEX\_UNLOCK request is performed on it, but instead of the UMUTEX\_UNOWNED value, the m\_owner field is written with the UMUTEX\_RB\_OWNERDEAD value. When a mutex in the UMUTEX\_RB\_OWNERDEAD state is locked by kernel due to the UMTX\_OP\_MUTEX\_TRYLOCK and UMTX\_OP\_MUTEX\_LOCK requests, the lock is granted and EOWNERDEAD error is returned.

Also, the kernel handles the UMUTEX\_RB\_NOTRECOV value of the m\_owner field specially, always returning the ENOTRECOVERABLE error for lock attempts, without granting the lock.

#### **OPERATIONS**

The following operations, requested by the *op* argument to the function, are implemented:

### UMTX OP WAIT

Wait. The arguments for the request are:

obj Pointer to a variable of type long.

val Current value of the \*obj.

The current value of the variable pointed to by the *obj* argument is compared with the *val*. If they are equal, the requesting thread is put to interruptible sleep until woken up or the optionally specified timeout expires.

The comparison and sleep are atomic. In other words, if another thread writes a new value to \*obj and then issues UMTX\_OP\_WAKE, the request is guaranteed to not miss the wakeup, which might otherwise happen between comparison and blocking.

The physical address of memory where the \*obj variable is located, is used as a key to index sleeping threads.

The read of the current value of the \*obj variable is not guarded by barriers. In particular, it is the user's duty to ensure the lock acquire and release memory semantics, if the UMTX\_OP\_WAIT and UMTX\_OP\_WAKE requests are used as a substrate for implementing a simple lock.

The request is not restartable. An unblocked signal delivered during the wait always results in sleep interruption and EINTR error.

Optionally, a timeout for the request may be specified.

### UMTX\_OP\_WAKE

Wake the threads possibly sleeping due to UMTX\_OP\_WAIT. The arguments for the request are:

obj Pointer to a variable, used as a key to find sleeping threads.

val Up to val threads are woken up by this request. Specify INT\_MAX to wake up all waiters.

# UMTX\_OP\_MUTEX\_TRYLOCK

Try to lock umutex. The arguments to the request are:

obj Pointer to the umutex.

Operates same as the UMTX\_OP\_MUTEX\_LOCK request, but returns EBUSY instead of sleeping if the lock cannot be obtained immediately.

### UMTX OP MUTEX LOCK

Lock umutex. The arguments to the request are:

obj Pointer to the umutex.

Locking is performed by writing the current thread id into the m\_owner word of the *struct umutex*. The write is atomic, preserves the UMUTEX\_CONTESTED contention indicator, and

provides the acquire barrier for lock entrance semantic.

If the lock cannot be obtained immediately because another thread owns the lock, the current thread is put to sleep, with UMUTEX\_CONTESTED bit set before. Upon wake up, the lock conditions are re-tested.

The request adheres to the priority protection or inheritance protocol of the mutex, specified by the UMUTEX PRIO PROTECT or UMUTEX PRIO INHERIT flag, respectively.

Optionally, a timeout for the request may be specified.

A request with a timeout specified is not restartable. An unblocked signal delivered during the wait always results in sleep interruption and EINTR error. A request without timeout specified is always restarted after return from a signal handler.

# UMTX\_OP\_MUTEX\_UNLOCK

Unlock umutex. The arguments to the request are:

obj Pointer to the umutex.

Unlocks the mutex, by writing UMUTEX\_UNOWNED (zero) value into m\_owner word of the *struct umutex*. The write is done with a release barrier, to provide lock leave semantic.

If there are threads sleeping in the sleep queue associated with the umutex, one thread is woken up. If more than one thread sleeps in the sleep queue, the UMUTEX\_CONTESTED bit is set together with the write of the UMUTEX\_UNOWNED value into m\_owner.

The request adheres to the priority protection or inheritance protocol of the mutex, specified by the UMUTEX\_PRIO\_PROTECT or UMUTEX\_PRIO\_INHERIT flag, respectively. See description of the m\_ceilings member of the *struct umutex* structure for additional details of the request operation on the priority protected protocol mutex.

# UMTX\_OP\_SET\_CEILING

Set ceiling for the priority protected umutex. The arguments to the request are:

*obj* Pointer to the umutex.

*val* New ceiling value.

uaddr Address of a variable of type uint32 t. If not NULL and the update was successful, the

previous ceiling value is written to the location pointed to by *uaddr*.

The request locks the umutex pointed to by the *obj* parameter, waiting for the lock if not immediately available. After the lock is obtained, the new ceiling value *val* is written to the m\_ceilings[0] member of the *struct umutex*, after which the umutex is unlocked.

The locking does not adhere to the priority protect protocol, to conform to the POSIX requirements for the pthread\_mutex\_setprioceiling(3) interface.

# UMTX\_OP\_CV\_WAIT

Wait for a condition. The arguments to the request are:

*obj* Pointer to the *struct ucond*.

val Request flags, see below.

*uaddr* Pointer to the umutex.

uaddr2 Optional pointer to a struct timespec for timeout specification.

The request must be issued by the thread owning the mutex pointed to by the *uaddr* argument. The c\_hash\_waiters member of the *struct ucond*, pointed to by the *obj* argument, is set to an arbitrary non-zero value, after which the *uaddr* mutex is unlocked (following the appropriate protocol), and the current thread is put to sleep on the sleep queue keyed by the *obj* argument. The operations are performed atomically. It is guaranteed to not miss a wakeup from UMTX\_OP\_CV\_SIGNAL or UMTX\_OP\_CV\_BROADCAST sent between mutex unlock and putting the current thread on the sleep queue.

Upon wakeup, if the timeout expired and no other threads are sleeping in the same sleep queue, the c\_hash\_waiters member is cleared. After wakeup, the *uaddr* umutex is not relocked.

The following flags are defined:

CVWAIT ABSTIME Timeout is absolute.

CVWAIT\_CLOCKID Clockid is provided.

Optionally, a timeout for the request may be specified. Unlike other requests, the timeout value is specified directly by a *struct timespec*, pointed to by the *uaddr2* argument. If the CVWAIT\_CLOCKID flag is provided, the timeout uses the clock from the c\_clockid member of

the *struct ucond*, pointed to by *obj* argument. Otherwise, CLOCK\_REALTIME is used, regardless of the clock identifier possibly specified in the *struct \_umtx\_time*. If the CVWAIT\_ABSTIME flag is supplied, the timeout specifies absolute time value, otherwise it denotes a relative time interval.

The request is not restartable. An unblocked signal delivered during the wait always results in sleep interruption and EINTR error.

#### UMTX OP CV SIGNAL

Wake up one condition waiter. The arguments to the request are:

obj Pointer to struct ucond.

The request wakes up at most one thread sleeping on the sleep queue keyed by the *obj* argument. If the woken up thread was the last on the sleep queue, the c\_has\_waiters member of the *struct ucond* is cleared.

### UMTX\_OP\_CV\_BROADCAST

Wake up all condition waiters. The arguments to the request are:

obj Pointer to struct ucond.

The request wakes up all threads sleeping on the sleep queue keyed by the *obj* argument. The c\_has\_waiters member of the *struct ucond* is cleared.

#### UMTX\_OP\_WAIT\_UINT

Same as UMTX\_OP\_WAIT, but the type of the variable pointed to by *obj* is *u\_int* (a 32-bit integer).

# UMTX\_OP\_RW\_RDLOCK

Read-lock a *struct rwlock* lock. The arguments to the request are:

*obj* Pointer to the lock (of type *struct rwlock*) to be read-locked.

val Additional flags to augment locking behaviour. The valid flags in the val argument are:

### URWLOCK\_PREFER\_READER

The request obtains the read lock on the specified *struct rwlock* by incrementing the count of readers in the rw\_state word of the structure. If the URWLOCK\_WRITE\_OWNER bit is set in

the word rw\_state, the lock was granted to a writer which has not yet relinquished its ownership. In this case the current thread is put to sleep until it makes sense to retry.

If the URWLOCK\_PREFER\_READER flag is set either in the rw\_flags word of the structure, or in the *val* argument of the request, the presence of the threads trying to obtain the write lock on the same structure does not prevent the current thread from trying to obtain the read lock. Otherwise, if the flag is not set, and the URWLOCK\_WRITE\_WAITERS flag is set in rw\_state, the current thread does not attempt to obtain read-lock. Instead it sets the URWLOCK\_READ\_WAITERS in the rw\_state word and puts itself to sleep on corresponding sleep queue. Upon wakeup, the locking conditions are re-evaluated.

Optionally, a timeout for the request may be specified.

The request is not restartable. An unblocked signal delivered during the wait always results in sleep interruption and EINTR error.

# UMTX\_OP\_RW\_WRLOCK

Write-lock a *struct rwlock* lock. The arguments to the request are:

obj Pointer to the lock (of type struct rwlock) to be write-locked.

The request obtains a write lock on the specified *struct rwlock*, by setting the URWLOCK\_WRITE\_OWNER bit in the rw\_state word of the structure. If there is already a write lock owner, as indicated by the URWLOCK\_WRITE\_OWNER bit being set, or there are read lock owners, as indicated by the read-lock counter, the current thread does not attempt to obtain the write-lock. Instead it sets the URWLOCK\_WRITE\_WAITERS in the rw\_state word and puts itself to sleep on corresponding sleep queue. Upon wakeup, the locking conditions are re-evaluated.

Optionally, a timeout for the request may be specified.

The request is not restartable. An unblocked signal delivered during the wait always results in sleep interruption and EINTR error.

# UMTX\_OP\_RW\_UNLOCK

Unlock rwlock. The arguments to the request are:

obj Pointer to the lock (of type struct rwlock) to be unlocked.

The unlock type (read or write) is determined by the current lock state. Note that the *struct* 

rwlock does not save information about the identity of the thread which acquired the lock.

If there are pending writers after the unlock, and the URWLOCK\_PREFER\_READER flag is not set in the rw\_flags member of the \*obj structure, one writer is woken up, selected as described in the SLEEP QUEUES subsection. If the URWLOCK\_PREFER\_READER flag is set, a pending writer is woken up only if there is no pending readers.

If there are no pending writers, or, in the case that the URWLOCK\_PREFER\_READER flag is set, then all pending readers are woken up by unlock.

# UMTX\_OP\_WAIT\_UINT\_PRIVATE

Same as UMTX\_OP\_WAIT\_UINT, but unconditionally select the process-private sleep queue.

### UMTX\_OP\_WAKE\_PRIVATE

Same as UMTX\_OP\_WAKE, but unconditionally select the process-private sleep queue.

# UMTX\_OP\_MUTEX\_WAIT

Wait for mutex availability. The arguments to the request are:

obj Address of the mutex.

Similarly to the UMTX\_OP\_MUTEX\_LOCK, put the requesting thread to sleep if the mutex lock cannot be obtained immediately. The UMUTEX\_CONTESTED bit is set in the m\_owner word of the mutex to indicate that there is a waiter, before the thread is added to the sleep queue. Unlike the UMTX\_OP\_MUTEX\_LOCK request, the lock is not obtained.

The operation is not implemented for priority protected and priority inherited protocol mutexes.

Optionally, a timeout for the request may be specified.

A request with a timeout specified is not restartable. An unblocked signal delivered during the wait always results in sleep interruption and EINTR error. A request without a timeout automatically restarts if the signal disposition requested restart via the SA\_RESTART flag in *struct sigaction* member sa\_flags.

# UMTX\_OP\_NWAKE\_PRIVATE

Wake up a batch of sleeping threads. The arguments to the request are:

obj Pointer to the array of pointers.

val Number of elements in the array pointed to by obj.

For each element in the array pointed to by *obj*, wakes up all threads waiting on the *private* sleep queue with the key being the byte addressed by the array element.

#### UMTX OP MUTEX WAKE

Check if a normal umutex is unlocked and wake up a waiter. The arguments for the request are:

obj Pointer to the umutex.

If the m\_owner word of the mutex pointed to by the *obj* argument indicates unowned mutex, which has its contention indicator bit UMUTEX\_CONTESTED set, clear the bit and wake up one waiter in the sleep queue associated with the byte addressed by the *obj*, if any. Only normal mutexes are supported by the request. The sleep queue is always one for a normal mutex type.

This request is deprecated in favor of UMTX\_OP\_MUTEX\_WAKE2 since mutexes using it cannot synchronize their own destruction. That is, the m\_owner word has already been set to UMUTEX\_UNOWNED when this request is made, so that another thread can lock, unlock and destroy the mutex (if no other thread uses the mutex afterwards). Clearing the UMUTEX\_CONTESTED bit may then modify freed memory.

### UMTX\_OP\_MUTEX\_WAKE2

Check if a umutex is unlocked and wake up a waiter. The arguments for the request are:

obj Pointer to the umutex.

val The umutex flags.

The request does not read the m\_flags member of the *struct umutex*; instead, the *val* argument supplies flag information, in particular, to determine the sleep queue where the waiters are found for wake up.

If the mutex is unowned, one waiter is woken up.

If the mutex memory cannot be accessed, all waiters are woken up.

If there is more than one waiter on the sleep queue, or there is only one waiter but the mutex is owned by a thread, the UMUTEX\_CONTESTED bit is set in the m\_owner word of the *struct umutex*.

#### UMTX OP SEM2 WAIT

Wait until semaphore is available. The arguments to the request are:

obj Pointer to the semaphore (of type struct \_usem2).

uaddr

Size of the memory passed in via the *uaddr2* argument.

uaddr2

Optional pointer to a structure of type *struct \_umtx\_time*, which may be followed by a structure of type *struct timespec*.

Put the requesting thread onto a sleep queue if the semaphore counter is zero. If the thread is put to sleep, the USEM\_HAS\_WAITERS bit is set in the \_count word to indicate waiters. The function returns either due to \_count indicating the semaphore is available (non-zero count due to post), or due to a wakeup. The return does not guarantee that the semaphore is available, nor does it consume the semaphore lock on successful return.

Optionally, a timeout for the request may be specified.

A request with non-absolute timeout value is not restartable. An unblocked signal delivered during such wait results in sleep interruption and EINTR error.

If UMTX\_ABSTIME was not set, and the operation was interrupted and the caller passed in a *uaddr2* large enough to hold a *struct timespec* following the initial *struct \_umtx\_time*, then the *struct timespec* is updated to contain the unslept amount.

# UMTX\_OP\_SEM2\_WAKE

Wake up waiters on semaphore lock. The arguments to the request are:

obj Pointer to the semaphore (of type struct \_usem2).

The request wakes up one waiter for the semaphore lock. The function does not increment the semaphore lock count. If the USEM\_HAS\_WAITERS bit was set in the \_count word, and the last sleeping thread was woken up, the bit is cleared.

# UMTX\_OP\_SHM

Manage anonymous POSIX shared memory objects (see shm\_open(2)), which can be attached to a byte of physical memory, mapped into the process address space. The objects are used to implement process-shared locks in libthr.

The val argument specifies the sub-request of the UMTX OP SHM request:

#### UMTX SHM CREAT

Creates the anonymous shared memory object, which can be looked up with the specified key *uaddr*. If the object associated with the *uaddr* key already exists, it is returned instead of creating a new object. The object's size is one page. On success, the file descriptor referencing the object is returned. The descriptor can be used for mapping the object using mmap(2), or for other shared memory operations.

### UMTX\_SHM\_LOOKUP

Same as UMTX\_SHM\_CREATE request, but if there is no shared memory object associated with the specified key *uaddr*, an error is returned, and no new object is created.

### UMTX\_SHM\_DESTROY

De-associate the shared object with the specified key *uaddr*. The object is destroyed after the last open file descriptor is closed and the last mapping for it is destroyed.

### UMTX\_SHM\_ALIVE

Checks whether there is a live shared object associated with the supplied key *uaddr*. Returns zero if there is, and an error otherwise. This request is an optimization of the UMTX\_SHM\_LOOKUP request. It is cheaper when only the liveness of the associated object is asked for, since no file descriptor is installed in the process fd table on success.

The *uaddr* argument specifies the virtual address, which backing physical memory byte identity is used as a key for the anonymous shared object creation or lookup.

## UMTX OP ROBUST LISTS

Register the list heads for the current thread's robust mutex lists. The arguments to the request are:

val Size of the structure passed in the uaddr argument.

*uaddr* Pointer to the structure of type *struct umtx\_robust\_lists\_params*.

The structure is defined as

```
struct umtx_robust_lists_params {
      uintptr_t robust_list_offset;
      uintptr_t robust_priv_list_offset;
      uintptr_t robust_inact_offset;
```

**}**;

The robust\_list\_offset member contains address of the first element in the list of locked robust shared mutexes. The robust\_priv\_list\_offset member contains address of the first element in the list of locked robust private mutexes. The private and shared robust locked lists are split to allow fast termination of the shared list on fork, in the child.

The robust\_inact\_offset contains a pointer to the mutex which might be locked in nearby future, or might have been just unlocked. It is typically set by the lock or unlock mutex implementation code around the whole operation, since lists can be only changed race-free when the thread owns the mutex. The kernel inspects the robust\_inact\_offset in addition to walking the shared and private lists. Also, the mutex pointed to by robust\_inact\_offset is handled more loosely at the thread termination time, than other mutexes on the list. That mutex is allowed to be not owned by the current thread, in which case list processing is continued. See *ROBUST UMUTEXES* subsection for details.

# UMTX\_OP\_GET\_MIN\_TIMEOUT

Writes out the current value of minimal umtx operations timeout, in nanoseconds, into the long integer variable pointed to by *uaddr1*.

### UMTX OP SET MIN TIMEOUT

Set the minimal amount of time, in nanoseconds, the thread is required to sleep for umtx operations specifying a timeout using absolute clocks. The value is taken from the *val* argument of the call. Zero means no minimum.

The *op* argument may be a bitwise OR of a single command from above with one or more of the following flags:

### UMTX\_OP\_\_I386

Request i386 ABI compatibility from the native **\_umtx\_op** system call. Specifically, this implies that:

obj arguments that point to a word, point to a 32-bit integer.

The UMTX\_OP\_NWAKE\_PRIVATE *obj* argument is a pointer to an array of 32-bit pointers.

The m\_rb\_lnk member of *struct umutex* is a 32-bit pointer.

struct timespec uses a 32-bit time t.

UMTX\_OP\_\_32BIT has no effect if this flag is set. This flag is valid for all architectures, but it is ignored on i386.

# UMTX\_OP\_\_32BIT

Request non-i386, 32-bit ABI compatibility from the native **\_umtx\_op** system call. Specifically, this implies that:

obj arguments that point to a word, point to a 32-bit integer.

The UMTX\_OP\_NWAKE\_PRIVATE *obj* argument is a pointer to an array of 32-bit pointers.

The m\_rb\_lnk member of *struct umutex* is a 32-bit pointer.

struct timespec uses a 64-bit time\_t.

This flag has no effect if UMTX\_OP\_\_I386 is set. This flag is valid for all architectures.

Note that if any 32-bit ABI compatibility is being requested, then care must be taken with robust lists. A single thread may not mix 32-bit compatible robust lists with native robust lists. The first UMTX\_OP\_ROBUST\_LISTS call in a given thread determines which ABI that thread will use for robust lists going forward.

### **RETURN VALUES**

If successful, all requests, except UMTX\_SHM\_CREAT and UMTX\_SHM\_LOOKUP sub-requests of the UMTX\_OP\_SHM request, will return zero. The UMTX\_SHM\_CREAT and UMTX\_SHM\_LOOKUP return a shared memory file descriptor on success. On error -1 is returned, and the *errno* variable is set to indicate the error.

#### **ERRORS**

The **\_umtx\_op**() operations can fail with the following errors:

[EFAULT]	One of the arguments point to invalid memory.
[EINVAL]	The clock identifier, specified for the <i>struct _umtx_time</i> timeout parameter, or in the c_clockid member of <i>struct ucond</i> , is invalid.
[EINVAL]	The type of the mutex, encoded by the m_flags member of <i>struct umutex</i> , is invalid.
[EINVAL]	The m_owner member of the <i>struct umutex</i> has changed the lock owner thread

identifier during unlock.

[EINVAL] The timeout.tv\_sec or timeout.tv\_nsec member of *struct \_umtx\_time* is less than zero, or timeout.tv\_nsec is greater than 1000000000.

[EINVAL] The *op* argument specifies invalid operation.

[EINVAL] The *uaddr* argument for the UMTX\_OP\_SHM request specifies invalid operation.

[EINVAL] The UMTX\_OP\_SET\_CEILING request specifies non priority protected mutex.

[EINVAL] The new ceiling value for the UMTX\_OP\_SET\_CEILING request, or one or more of the values read from the m\_ceilings array during lock or unlock operations, is greater than RTP\_PRIO\_MAX.

[EPERM] Unlock attempted on an object not owned by the current thread.

### [EOWNERDEAD]

The lock was requested on an umutex where the m\_owner field was set to the UMUTEX\_RB\_OWNERDEAD value, indicating terminated robust mutex. The lock was granted to the caller, so this error in fact indicates success with additional conditions.

### [ENOTRECOVERABLE]

The lock was requested on an umutex which m\_owner field is equal to the UMUTEX\_RB\_NOTRECOV value, indicating abandoned robust mutex after termination. The lock was not granted to the caller.

[ENOTTY] The shared memory object, associated with the address passed to the UMTX\_SHM\_ALIVE sub-request of UMTX\_OP\_SHM request, was destroyed.

[ESRCH] For the UMTX\_SHM\_LOOKUP, UMTX\_SHM\_DESTROY, and UMTX\_SHM\_ALIVE sub-requests of the UMTX\_OP\_SHM request, there is no shared memory object associated with the provided key.

[ENOMEM] The UMTX\_SHM\_CREAT sub-request of the UMTX\_OP\_SHM request cannot be satisfied, because allocation of the shared memory object would exceed the RLIMIT\_UMTXP resource limit, see setrlimit(2).

[EAGAIN] The maximum number of readers (URWLOCK\_MAX\_READERS) were already

granted ownership of the given struct rwlock for read.

[EBUSY] A try mutex lock operation was not able to obtain the lock.

[ETIMEDOUT] The request specified a timeout in the *uaddr* and *uaddr2* arguments, and timed out before obtaining the lock or being woken up.

[EINTR] A signal was delivered during wait, for a non-restartable operation. Operations with timeouts are typically non-restartable, but timeouts specified in absolute time may be restartable.

[ERESTART] A signal was delivered during wait, for a restartable operation. Mutex lock requests without timeout specified are restartable. The error is not returned to userspace code since restart is handled by usual adjustment of the instruction counter.

### **SEE ALSO**

clock\_gettime(2), mmap(2), setrlimit(2), shm\_open(2), sigaction(2), thr\_exit(2), thr\_kill(2), thr\_hexit(2), thr\_set\_name(2), signal(3)

#### **STANDARDS**

The \_umtx\_op() system call is non-standard and is used by the 1:1 Threading Library (libthr, -lthr) to implement IEEE Std 1003.1-2001 ("POSIX.1") pthread(3) functionality.

#### BUGS

A window between a unlocking robust mutex and resetting the pointer in the robust\_inact\_offset member of the registered *struct umtx\_robust\_lists\_params* allows another thread to destroy the mutex, thus making the kernel inspect freed or reused memory. The libthr implementation is only vulnerable to this race when operating on a shared mutex. A possible fix for the current implementation is to strengthen the checks for shared mutexes before terminating them, in particular, verifying that the mutex memory is mapped from a shared memory object allocated by the UMTX\_OP\_SHM request. This is not done because it is believed that the race is adequately covered by other consistency checks, while adding the check would prevent alternative implementations of libpthread.